Software reuse and interoperability have long been the Holy Grail of the simulation community. The current state-of-the-art interoperability standard in simulation is the High Level Architecture (HLA). HLA is a US DoD mandate and an IEEE standard. It has generated much interest among simulation researchers and practitioners, but whether it will gain widespread acceptance by the mainstream simulation community depends on its ability to support the mainstream approaches and techniques. Jini and XML are two emerging technologies that will potentially have tremendous impact on the solutions to the reuse and interoperability problems in the simulation domain. This paper proposes the use of Jini and XML in building an open and flexible framework for a federated simulation system. It also presents the design and development of a prototype XML-based messaging system that is oriented towards our proposed system. A finding of our research is that Jini and XML may well complement each other in achieving interoperability between distributed components. Together, they constitute a promising infrastructure technology for future distributed systems.

INDEX WORDS: HLA, RTI, simulation, federation, federate, interoperability, Java, JavaBeans, Enterprise JavaBeans, Jini, XML, XML Protocol, semi-structured data, databases, distributed systems, messaging.
XML-BASED MESSAGING IN THE JSIM SIMULATION ENVIRONMENT

by

XUEQIN HUANG

M.A., The Institute of International Relations, P. R. China, 1995
B.A., Jiangxi Normal University, P.R. China, 1993

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by

XUEQIN HUANG

Approved.

Major Professor. Dr. John Miller

Committee. Dr. Robert Robinson
Dr. Daniel Everett

Electronic Version Approved.

Gordhan L. Patel
Dean of the Graduate School
The University of Georgia
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CHAPTER 1
INTRODUCTION

1.1 Overview of the JSIM Simulation Environment

Simulation tools and systems have been found very useful and sometimes indispensable in emulating complex real world systems in their design phase to cut costs, improve performance, and ensure the success of the end product. For instance, it would be of great interest to a bank to find out the ideal number of tellers for this bank, such that the bank can hire the least number of tellers while keeping the waiting time of its customers at an acceptable amount. Manually testing all combinations would be impractical, if not impossible. In this case, a simulation tool with automation capabilities would enable the bank to easily find an optimal solution to their staffing problem. A far more challenging simulation task, such as the design of a spacecraft, would require even more powerful simulation abilities, such as the ability to quickly compose a complex system by reusing previously constructed simulation components.

JSIM (see papers [Nair et al., 1996], [Miller et al., 1997], [Miller et al., 1998], [Miller et al., 1999], [Miller, 1999a], [Miller, 1999b], [Seila et al., 1999], [Miller et al., 2000], and [Huang and Miller, 2001]; theses [Nair, 1997], [Zhang, 1997], [Zhao, 1997], [Ge, 1998], [Xiang, 1999], and [Tao, 2000]) is a component-based simulation and animation environment implemented in Java. It is an ongoing project designed to automate those repetitive, time-consuming, and error-prone tasks that are sometimes manually performed by simulation analysts. Our goal is to build a distributed and cooperative simulation environment in which separately developed simulations can collaborate at run time to solve complex simulation tasks.
Currently, JSIM supports a point-and-click model designer that can be used to design simulation models and code-generate model applets and model beans. A model bean is controlled by a model agent, which specializes in a statistical analysis method. A model agent can also dynamically adjust the properties of a model, collect statistical results from the model, and fire an event to a database bean for storing the model parameters, agent properties, and statistical results into a database. A model bean and a model agent can be dynamically linked so that statistics on the same model, run with different parameters, and perhaps associated with different model agents, can be collected in a database and then compared to find the best scenario for this model [Seila et al., 1999].

Work is under way to make JSIM become truly distributed. For a distributed simulation system, the biggest challenges lie in interoperability between distributed simulation components, synchronization of distributed simulation components, exchange of model and control data, and integration of simulation results stored in different and perhaps heterogeneous databases. To meet these challenges, it is critical to design and build a flexible distributed infrastructure and use a standard format for representing the simulation data.

1.2 Interoperability of Simulation Systems

Software reuse and interoperability have long been the Holy Grail of the simulation community. Reuse means the repeated use of a software component, together with other software modules, to compose larger applications without manually changing the code of the software component. Software reuse is one of the important goals of all object-oriented design (OOD) methodologies. Interoperability takes software reuse a step further by allowing separately developed software components to dynamically cooperate with each other to achieve a common task that is too complex to be achieved by any one of them working alone.
1.3 HLA and Its Limitations

HLA is the current state-of-the-art interoperability standard for federated simulation systems. It was mandated by the United States Department of Defense (DoD), standardized by IEEE, and adopted by the NATO countries [Kuhl et al., 1999]. It defines a software architecture for composing simulation systems from components. The components are called federates, and the simulation involving several federates is called a federation. Federates interact with each other through a runtime infrastructure (RTI), which offers services in six areas, namely federation management, declaration management, object management, ownership management, time management, and data distribution management [Kuhl, 2000]. The core interoperability facilities of HLA include the Interface Specification [DMSO, 1998a] and the Object Model Template (OMT) Specification [DMSO, 1998b].

HLA is an architecture, not an implementation. An HLA system can be built using CORBA or other technologies. Sample HLA-style simulation systems include the Federated Simulations Development Kit (FDK) [Fujimoto, 1998] from Georgia Tech and the JavaGPSS system [Klein et al., 1998].

Due to its enormous complexity and inherent limitations [Page, 1998], HLA has seen little implementation effort in the mainstream simulation community. Its low level, operating system-like conceptual framework as exhibited in the RTI, may not be the best solution to the problem of allowing independently crafted complex software modules to inter-operate at run time in a distributed environment. Moreover, its DoD proprietary OMT Data Interchange Format (DIF) has not been attractive to the mainstream simulation community.

An earlier effort to implement a subset of the HLA services for JSIM [Tao, 2000] was accomplished by using Enterprise JavaBeans (EJB) [Thomas et al., 1998] and [Roth, 2000]. However, because of its strict thin-client/thick-server orientation, EJB was not
found to be a suitable solution to a federated simulation system [Miller et al., 2000]. This motivated our search for a new substrate for distributed JSIM.

1.4 A New Perspective with Jini and XML

Whether HLA will gain widespread acceptance by the mainstream simulation community depends on its ability to support the approaches and techniques of the mainstream. Two emerging interoperability technologies that are worthy of particular attention are Jini and XML. In contrast to HLA, Jini considers itself not as a traditional operating system that knows everything and controls everything, but as a network layer that provides an object-oriented interface to the computers of the future [Venners, 1999]. Compared with the DoD proprietary OMT DIF, the eXtensible Markup Language (XML) [Bray et al., 1998] is a universal standard for the exchange of structured data. On top of that, the many freely and readily available standard XML tools and the close relationship between XML and the Web make XML a very attractive technology for achieving interoperability between federated systems.

Jini and XML will potentially have tremendous impact on solving the reuse and interoperability problems in the simulation domain. An interesting study currently going on in this area is the NV-Therm project [Kelly, 2001]. NV-Therm is a simulation component in a much larger simulation system produced by Illgen. Data produced from NV-Therm is needed by the Jet Propulsion Lab (JPL)’s Engineering and Communications Infrastructure (ECI) section. The NV-Therm researchers are planning to provide a model interface that uses Jini to locate and communicate with the proxies for model and simulation objects at Illgen. The model interface also provides a way for the ECI systems to interact with the proxies by sharing XML documents over HTTP or IIOP. Other related studies include [Wilson, 2001] and [Buss, 2000].

This paper attempts to examine the application of these two technologies in the context of the JSIM simulation environment. We will present the design and
implementation of a prototype system, which is the first step towards our vision of building a fully distributed federated simulation system with Jini and XML.

This paper consists of six chapters. Chapter 2 will give a review of major mainstream interoperability technologies including Jini and XML. Chapter 3 will introduce our proposed framework for federated simulation systems and the use of such a framework in our prototype design and implementation of the JSIM simulation environment. Chapter 4 will present our approach towards the exchange of simulation data using the XML-based messaging scheme. Chapter 5 will discuss the management of JSIM simulation data with XML. In the last chapter, we will point out some contributions of this work and some opportunities for future research. Readers interested in using JSIM may download it from the following Web site. http://chief.cs.uga.edu/~jam/jsim
CHAPTER 2
A REVIEW OF MAINSTREAM INTEROPERABILITY TECHNOLOGIES

Interoperability refers to the capability to integrate resources from diverse origins to compose a new system such that the individual resources appear to have been designed as part of the newly composed system [Bollinger, 2000]. The resources can be data, software, hardware, people with certain skills, or any entities that can be shared. Here, we are only interested in data and software interoperability in the area of information technology.

Generally speaking, data and software interoperability includes low-level syntactic interoperability and higher-level semantic interoperability. Syntactic interoperability is usually applied to the exchange of structured/formatted data. It is a special agreement/standard, among participating parties, over the structure, format, syntax, and/or types of the data being exchanged. It ensures the exchange of structured or semi-structured data in a mutually expected format. However, it does not guarantee meaningful and consistent interpretation of the data among all parties. The latter requires a much higher level of interoperability – semantic interoperability. This level of interoperability can be achieved through a shared set of vocabularies or ontologies whose meaning has been precisely defined and agreed upon by the target community. A major effort in this area is the Dublin Core Metadata Initiative [Medeiros, 2000].

[Bollinger, 2000] further divides data and software interoperability into several layers, such as the basic syntax layer, the complex syntax layer, the domain semantics layer, and the full semantics layer. An example of interoperability that operates at the basic syntax layer is the IEEE standard formats for text (ASCII) and numeric data. The complex syntax layer builds on top of the basic syntax layer by adding a software layer that helps ensure consistent interpretation of the structure and/or type of the data. Such
support technologies include CORBA (Common Object Request Broker Architecture) standards and software, and XML (eXtensible Markup Language) standards and tools. At the domain semantics layer, interoperability means providing a mechanism to allow common interpretation of complex domain-specific data among different applications. At the full semantics layer, interoperability refers to the ability to support sufficient machine-based understanding of data, and therefore automatic transfer of information across different domain areas of expertise. In practice, this layer of interoperability can rarely be achieved.

Here are some of current interoperability technologies.

- Microsoft COM/DCOM and ActiveX
- OMG CORBA
- Sun’s Java family. RMI, JavaBeans, Enterprise JavaBeans, Jini
- XML technologies. XML, DTD, XML Schema, RDF, XML Protocol, WAP
- KQML and KIF

The first four technologies operate on Bollinger’s complex syntax layer. However, it should be noted that XML is widely believed to be a vital technology that will help enable a future Semantic Web, though it is unlikely that XML alone can achieve this. KQML and KIF are languages for agent communication and ontologies, respectively. Like XML, they are in between the syntax and semantics layers. Next, we will briefly discuss each of the above technologies.

2.1 Microsoft COM/DCOM

The Microsoft Component Object Model (COM) is a binary-level component standard for the Microsoft environment. It allows compiled components to call each other and exchange data with each other, so long as they run under the Windows platform. The
Microsoft Distributed Component Object Model (DCOM), previously called “Network OLE”, is based on the Open Software Foundation’s DCE-RPC specification. It is essentially a RPC layer on top of COM, which allows software components to communicate directly over a network. ActiveX controls are the third version of the OLE controls (OCX). It is a type of COM component that uses COM technologies to provide interoperability with other types of COM components and services [Microsoft, 2001].

COM/DCOM and ActiveX provide interoperability in the Microsoft environment, but due to the fact that COM is a binary standard and that it is tightly entrenched in the Microsoft platform, it is not easy to port COM components to non-Microsoft platforms. However, with CORBA, it is possible to invoke COM components in their native environment from a non-Microsoft platform.

2.2 OMG CORBA

The Common Object Request Broker Architecture (CORBA) is an OMG standard for distributed object computing. It is a middleware that attempts to make distributed object computing language transparent and platform transparent. CORBA provides interoperability across programming languages and operating systems through language bindings between CORBA IDLs and target languages.

2.3 Sun’s Java Family

Sun and its partners have been actively developing high level programming models based on Java. Examples of such programming models include Remote Method Invocation, JavaBeans¹, Enterprise JavaBeans², and Jini³.

¹ The client-side component model for Java.
² The server-side component model for Java.
The Remote Method Invocation (RMI) is the distributed object-computing model for the Java programming language. It provides some basic services for managing distributed objects, and is the foundation on which more sophisticated computing models, such as EJB and Jini, are constructed. Due to its simplicity, RMI is the best choice for building distributed Java applications that do not require sophisticated management of distributed services, or for testing out frameworks for distributed systems. RMI is used in a discrete event simulation package called SimJava to distribute simulation entities across the network [Page et al, 1997].

JavaBeans [Javasoft, 1997] [Hamilton, 1997] defines the client-side component model for the Java platform. A powerful feature of JavaBeans is its capability to visually customize the properties of compiled components and dynamically link them to compose a new application.

Enterprise JavaBeans (EJB) is a server-side component model for the Java platform, which is designed to "enable enterprises to build scalable, secure, multi-platform, business-critical applications as reusable, server-side components" [Roth, 2000]. EJB takes the Java paradigm of platform independence a step further to independence from various legacy infrastructures such as messaging middleware, transaction support, naming and directory services, object protocols and relational databases. In addition, it delegates some difficult programming tasks, such as distributed transactions, distributed object invocation, security, load balancing, and connection pooling, to EJB server and container providers, simplifying application development and making it a good model for multi-tier thin-client/thick-services business applications. It could also be very useful for managing simulation data on the database side.

Unlike EJB, which redefines the current model under which server-side enterprise business logic is developed and deployed, Jini redefines the current model under which a client discovers, manages and communicates with the services it requires. The Jini vision

---

3 The network-computing model for Java.
is to turn the network into the client's computer by supplying the client with a federation of remote "plug and play" devices and services in a dynamic configuration (the Jini Federation) that is personalized for each client [Sun Microsystems, 2000a].

In terms of architectural model, Jini supports service-oriented peer-to-peer communication with variable-size clients and variable-size services. According to Jim Waldo, Sun's chief Jini architect, the Jini architecture is based on the idea of federation rather than central control [Venners, 1999]. To the client, the network is a computer made up of a federation of devices and services in the form of mobile objects or agents. In Bill Joy's words, the Java/Jini layer on which those mobile objects and agents reside can be called the BIOS (basic input/output system) of a network computer [Venners, 1999]. However, Jini is not intended to be anything like a traditional operating system, which knows about everything and controls everything. Instead, it is intended to give an object-oriented interface to the computers of the future.

All these programming models leverage Java's platform transparency, its simple, well defined, and well-documented programming models, and its widespread industry support to provide interoperability across different platforms and enterprises.

2.4 XML Technologies

The eXtensible Markup Language (XML) is a universal format for data exchange over the Web. Described as the "Second Coming of the Web", XML is an emerging and rapidly evolving technology that is shaping the Second-Generation Web. It follows the revolution started by the combination of hypertext and a global Internet [Bosak and Bray, 1999]. The most exciting possibility opened up by XML is the Semantic Web envisioned by the creators of XML.

Like HTML, XML uses tags to describe data. Unlike HTML tags, which specify the presentation of data, XML tags can be used to describe the meaning of data. In addition, XML has an extensible tag set so that users can define their own tags for their data. As a
meta-language, XML allows data to be attached with meta-data in the form of XML Document Type Definition (DTD), XML Schema, and/or XML RDF. A DTD specifies all the tags used in a class of XML documents and a set of rules governing the structure of those XML documents. In addition to declaring tags and specifying document structure, the W3C XML schema also provides data type information, type inheritance, schema inclusion and import, and XML Namespace support to further facilitate automatic processing of XML documents. The XML Resource Description Framework (RDF) is targeted to adding meta-data to Web contents to ensure effective, meaningful, and automatic retrieval of Web contents. In fact, RDF plus ontology support are deemed to be an important step towards the future Semantic Web [Berners-Lee, 2000].

2.5 KQML and KIF

The Knowledge Query and Manipulation Language (KQML) is an agent communication language (ACL) created by a committee of representatives from different projects to deal with the problem of managing distributed implementations of systems as part of the ARPA Knowledge Sharing Effort [Finin, et al. 1994]. It is both a message format and message-handling protocol to support run-time knowledge sharing among co-operative agents. It supports an extensible set of performatives\(^4\) that determines the “speech acts” KQML-speaking agents are permitted to use (in other words, performatives are instructions that tell the receiving agents how to respond to the messages containing the performatives). It also provides a basic architecture for knowledge sharing through a class of special communication facilitators, which coordinate the interactions among other agents.

\(^4\) Meaning doing things with words, it is originated from the “speech act” theory in modern linguistics [Smith, 1990]. It is used in KQML messages to specify a request from one agent to another.
Surprisingly, KQML does not dictate an exact format for the contents of its messages. Its content languages could be anything from SQL to the Knowledge Interchange Format (KIF). The latter was designed to be a common language for expressing the content of a knowledge base. It is often used for knowledge exchange and ontology representation.

Needless to say, KQML and KIF can potentially enhance large-scale integration and interoperability between disparate systems. In fact, our design of the JSIM system has been partly inspired by the concepts embedded in KQML. A close examination of the JSIM system design and messaging scheme, as presented in Chapter 3 and 4, would reveal some resemblance between them. In particular, we have assimilated its performative concept, its emphasis on providing structure and semantics to messages, and its decoupling of the communication capability from the functional capability of a system.

To summarize, interoperability technologies generally operate at syntactic and semantic levels. Major supporting technologies at the syntactic level include Microsoft COM/DCOM and ActiveX, OMG CORBA, Sun’s Java technologies, and XML-related technologies. XML could also help at the semantic level, through XML meta-data technologies, such as DTD, XML Schema, XML RDF, and/or support of other meta-data technologies such as ontology mapping. KQML provides some structure and semantics to its messages. On the other hand, it is not precisely defined and its many communication schemes are similar to the messaging and eventing schemes directly supported by some mainstream programming models, such as Jini. Therefore, we have decided to pursue Jini and XML as an infrastructure for turning JSIM into an environment that supports fully distributed federated simulations.
CHAPTER 3
FRAMEWORK FOR FEDERATED SIMULATIONS

It has always been a great challenge to make separately developed and compiled simulation components work together on a common task. This may not even be possible at all without a supporting infrastructure or a well-defined and generally accepted standard.

As we mentioned earlier, HLA attempts to achieve interoperability between simulation components through a distributed-operating-system-like framework as exhibited in its Run Time Interface (RTI) specification. HLA does not specify the means of communication between simulation components [Buss et al., 1998]. Rather, it leaves the choice of the communication protocol up to the RTI implementers. This may be a good design choice in terms of flexibility. On the other hand, it adds to the complexity of implementing an HLA-compliant simulation component, and creates a potential obstacle for achieving interoperability between the RTI implementations by different vendors.

HLA compliance dictates that all simulation functionality, or at least all the data that an HLA federate might expose in any federation, must be documented in its simulation object model (SOM) in accordance with the HLA object model template (OMT). It also dictates that the objects and interactions that a federate exposes to others in the federation must be documented in the federation object model (FOM) in accordance with the OMT. By providing a common vocabulary for a federation through the FOM and by using OMT as the common format for exchanging simulation data, HLA aims to ensure semantic consistency across the federation.

Overall, HLA seems to offer everything needed for interoperability in the simulation domain. However, it remains a DoD mandate and has yet to be embraced by the general
simulation community. This may be traced back to its overly complex rules and specifications, which make it difficult to implement a truly HLA-compliant system.

Compared with HLA RTI, Jini serves a similar purpose of providing interoperability among distributed components, yet through a different approach. Unlike RTI, which attempts to control everything from managing the interactions among distributed simulation components to managing simulation data exposed by the federates, Jini provides a framework that supports the broader vision of viewing the network as a federation of loosely-related services. It sees itself as a network layer that assists individual services to add themselves to and/or discover other services on the network. Although Jini provides support for service registration and lookup, reliability of distributed event delivery, distributed transactions, and secure service access, it was designed to be simple. In addition, Jini is layered on top of an existing Java technology, RMI, which makes it much easier to develop Jini-compliant components.

In this chapter, we will explore a new approach for developing federated simulation systems using Jini. We will first examine what Jini has to offer for federated simulation systems, especially the Jini distributed event model. We will then present the design and implementation of our prototype JSIM with the Jini-style publish-subscribe event mechanism.

3.1 What Jini Offers

A key concept in Jini is service. A Jini service is "an entity that can be used by a person, a program, or another service" [Sun Microsystems, 2000b]. Under the notion of a service, Jini unifies everything from the user of a system of Jini technology-enabled services/devices to the software available on the machines, and to the hardware components of the machines themselves [Sun Microsystems, 2000c]. Jini systems provide mechanisms for service construction, lookup, communication, and use in a distributed system. Services in a Jini system communicate with each other by using a set
of interfaces called a "service protocol". A lookup service maps interfaces indicating the functionality provided by a service to sets of objects, which implement the service. A lookup service may include other lookup services or contain other forms of lookup service. A pair of protocols called "discovery" and "join" is used to add a service to a lookup service.

Jini supports object/code mobility, security, lease-based service access, and distributed transactions. Jini code mobility is accomplished by using Java RMI as the underlying protocol for communication between the Jini services. RMI has extended the traditional notion of remote method call mechanism to allow both data and object to be moved around a network. Security on service access is ensured through an access control list. A lease-based service requires the service user and provider to negotiate about the period of the lease and renew the lease with the lookup service if/when necessary. Because of its distributed nature, Jini supports transactions through the two-phase commit protocol as supplied in the Jini Transaction Service interface.

Another attractive feature of Jini is that it provides support for distributed events, which is a natural extension to the JavaBeans paradigm of event-based communication. The purpose is to allow an object in one Java Virtual Machine (JVM) to register interest in the occurrence of some event occurring in an object in some other JVM so as to receive a notification when an event of that kind occurs [Sun Microsystems, 2000c]. Due to the fact that event delivery is inherently unreliable in a distributed system, Jini

- allows various degrees of assurance on delivery of a notification,
- supports different policies of scheduling notification, and
- explicitly allows the interposition of objects that will collect, hold, filter, and forward notifications.
3.2 Jini Distributed Event Model Compared with JavaBeans Event Model

The JavaBeans event model is derived from the delegation event model used for user interface tools such as the AWT or Swing (JFC) in JDK 1.2. In this model, components communicate through event registration and notification. The entities involved include events, event generators, and event listeners. Events extend from the java.util.Event class. Event generators name their event registration and event generating methods by following certain conventions. For instance, an event registration method is named as addXXXListener, an event cancellation method is named removeXXXListener, and an event generating method is named as fireXXXEvent. Event listeners implement listener interfaces that inherit from java.util.EventListener. Bean builder tools rely on these naming conventions and interfaces to dynamically generate event adapters to hook up event generators and event listeners for the specified events.

The JavaBeans event model is based on the assumption that all the components run in the same JVM on one physical machine, so that event delivery is guaranteed to be fast and reliable. Hence, in JavaBeans, propagation of event notifications from sources to listeners is accomplished by method invocations on the target listener objects. Identification of the type of event notification is achieved by using a different method in the listener being called for each kind of event. Any state associated with an event notification is encapsulated in an object that inherits from java.util.EventObject and that is passed as the only argument of the notification method. Event sources define registration methods, one for each kind of event in which interest can be registered, by following the addXXXListener/removeXXXListener design pattern.

The basic event registration and notification mechanism in Jini is similar to that in JavaBeans, except that distributed event propagation is accomplished by the use of remote methods and that Jini allows an event registrant to register a third party as the event recipient. As in JavaBeans, state passed as part of the notification in Jini is encapsulated in an object that is derived from java.util.EventObject and passed as the sole
argument of the notification method. The RemoteEventListener interface also extends the more basic interface java.util.EventListener.

On the other hand, the Jini event model is based on the assumption that Jini components will be distributed in different Java Virtual Machines (JVMs) across the network on different physical machines. Since distributed event delivery is inherently unreliable and subject to all sorts of network and communication failures, Jini has incorporated new mechanisms to support distributed events.

First, Jini allows an event registrant to register a third party as the event recipient. Such a third party object is normally an agent who can guarantee the delivery of the event to the interested party. Example Jini third party objects include store-and-forward agents, notification filters (multiplexing/demultiplexing) agents, and notification mailboxes [Sun Microsystems, 2000a].

Second, Jini uses a uniform signature, notify (RemoteEvent theEvent), which is the only method included in the RemoteEventListener interface, to notify a listener of the occurrence of an event. This is designed to support third party objects, which cannot possibly define a different notification method for each type of event they can handle, since these third party objects may not know what event types are defined by the components that use them.

Third, Jini identifies an event by passing an identifier from the source of the notification to the listener. The combination of the object in which the event occurred and the identifier uniquely identifies the kind of event. This is necessary since Jini has a single signature for event notifications.

Fourth, a Jini event object includes a sequence number to handle the situation when an event is lost, arrives out of order, or is repeatedly delivered.

Fifth, registration of interest in a type of event in Jini is for a renewable period of time, rather than for a period of time bound by the active cancellation of interest.
3.3 Publish-Subscribe Eventing in JSIM

The Jini distributed event model offers a powerful, flexible, and intuitive communication mechanism for distributed components. It allows separately developed components to interact with each other at run time without having to prescribe the involved objects and interactions in a proprietary fashion as is required in HLA.

As shown in Figure 1, JSIM implements the publish-subscribe communication model using Jini-style events, with XML as the format of the event data. The publisher can also be called event generator, producer, or source, and the subscriber is sometimes called event listener, consumer, or target. The communication starts with the subscriber registering interest in a state change with the publisher. Upon the occurrence of a state change, the publisher notifies all subscribers interested in the state change with an event containing an XML message that describes the change.

![Figure 1 Publish-Subscribe eventing in JSIM](image)

All JSIM beans and agents acquire the capability to publish and subscribe to JSIM events by implementing the JsimBean (publisher) and JsimListener (subscriber) interfaces.
The JsimBean interface contains an addJsimListener method as shown below. This method allows any JSIM event listener to register interest in state changes within the JsimBean.

```java
public interface JsimBean extends Serializable {
    ...

    /****************************************************************************
    * Adds a JsimListener to an event object registration list.
    * @param target The target JsimListener to be added
    */
    void addJsimListener (JsimListener target);
    ...
}
```

The JsimListener interface has a single notify() method, which is called by the event publisher when a state change in the publisher occurs. Below is the JsimListener interface.

```java
public interface JsimListener extends EventListener, Remote {
    /****************************************************************************
    * Method to handle JsimEvents.
    * @param evt The JsimEvent to be processed
    */
    public void notify (JsimEvent evt) throws RemoteException;
}; // interface JsimListener
```

The JsimEvent class is similar to the Jini RemoteEvent interface. It inherits an event source field and contains an event ID field and an event data object field. The sequence number field will be used in the future. The event source refers to the source or publisher of the event. The event ID refers to an event type, which the publisher supports and the subscriber is interested in.

The beauty of these interfaces is their simplicity. It is straightforward to implement the JSIM publisher and listener interfaces. In fact, we have a class that implements both interfaces. By inheriting from an object of that class, any Java object automatically
becomes a JSIM component. A third party object can then rely on those interfaces to
dynamically hook up any JSIM component with any other JSIM components. However,
to actively participate in a JSIM-supported simulation federation, a component must be
able to understand and/or fire JSIM events, which we will discuss later.

3.4 The Architecture of JSIM

In our prototype implementation, we have enhanced the original JSIM architecture
[Xiang, 1999] with support for Jini-style eventing and XML-based messaging. We have
also extended the original architecture to include a database agent. Figure 2 is a diagram
of the current JSIM system architecture. The DesignAgent is not included here because it
is used separately and does not communicate with other components.

Figure 2 JSIM architecture
As shown in the above figure, the current JSIM environment supports a hierarchy of four different types of components, which communicate with each other through eleven different types of events, and ten types of messages.

The four types of components are ModelBean, ModelAgent, ScenarioAgent, and DBAgent. A ModelBean is responsible for communicating between a model and its simulation environment, so that the corresponding model can concentrate on complex simulation tasks, such as management of input model properties, interactions between simulation entities, simulation process scheduling and synchronization, output results collection, and simulation animation. All model beans that are generated by the DesignAgent are derived from class jsim.process.ModelBean, which is defined in the JSIM system.

A model agent is a higher-level component, which maintains a flow of conversations with a model bean to control the execution of the simulation. It also acts as a mediator between the model bean and the scenario agent or an end user to provide a high level control over the simulation execution and to improve the quality of simulation data. Upon request, it can also display simulation output or store both the input and output of a simulation into a database via a database agent. All model agents are derived from class jsim.jrun.ModelAgent. Each model agent defines a special method to control the execution of one model bean.

The system supports two types of model agents, the BatchMeansAgent and the ReplicationAgent. Users can extend ModelAgent to define their own model agents to give themselves more control over how the simulation is executed and how the simulation results should be handled.

A model agent and a model bean together correspond to a federate or simulation object model in HLA. To allow collaboration between two or more models, the scenario agent is needed to coordinate the execution of the entire model federation, or scenario as we call it in JSIM. One thing the scenario agent does is to fetch a scenario ID from the database and hand it over to each participating model agent. The model agent can then
store all the simulation data generated by the corresponding model together with the scenario ID, when requested. The scenario ID makes it possible to retrieve the input and output of all models participating in a federation.

The database agent interacts with the model agents and the scenario agent to help store and retrieve simulation data. Like other agents, the database agent handles communication with the outside world. Behind the scene, it calls the DBAccess class to store and retrieve data from the database.

Following our design goal of more local control/less centralization, and interaction rather than interdependency, we delegate all simulation tasks to models controlled by the model agents while leaving the scenario agent the sole task of global coordination.

We also separate the simulation and communication code so that the models are best at simulation and the beans and agents are best at communication. Moreover, changes to simulation code should not cause changes to the communication code, and vice versa. Furthermore, the event communication mechanism provides an ideal framework for implementing dynamic peer-to-peer communication for components developed without full prior knowledge about their counterparts.

The system is reasonably extensible, because we have been trying very hard, throughout our design and implementation, to generalize the common functions at the top level of the class hierarchies. Developers who wish to extend our system only need to add a minimum of customization code for their special purposes.

In summary, the peer-to-peer communication model in Jini provides an excellent conceptual framework for building federated simulation systems. Our prototype implementation of JSIM using the Jini distributed event model has shown that such a framework is highly flexible and extensible.
CHAPTER 4
INTEROPERABLE MESSAGING SERVICES

Having a communication framework and protocol between the components in a federated simulation system is certainly important. Yet it would be to no avail if the simulation components cannot understand each other. Most current simulation systems use proprietary formats for the exchange of simulation data within the system. This makes it difficult for such a system to interoperate with other simulation systems. HLA does provide a specification, called the Object Model Template (OMT), for prescribing the information contained in the HLA object model for each federate to foster simulation interoperability and reuse of simulation components. This specification merits due attention from the simulation community, since it is targeted for the simulation domain. However, OMT by itself does not help much with the data interoperability problems with non-HLA style simulation systems.

This chapter explores a new approach to the exchange of simulation data through the use of XML messages.

4.1 Data Interoperability with XML

As a self-descriptive and text-based format, XML is an excellent choice for representing data, or even objects, that need to be transported between disparate systems. In fact, a preliminary specification for XML Messaging has been published as an IETF Internet Draft to allow "reliable, resilient, secure, tamper resistant, authenticated exchange of XML or other electronic documents over insecure transport mechanisms" [Cover, 2000a]. An XML Protocol Working Group was created by W3C on September 13, 2000 to develop technologies that “allow two or more peers to communicate in a
distributed environment, using XML as its encapsulation language" [W3C, 2001]. Currently under review are over 20 XML-based protocols [W3C, 2001].

One of the most promising protocols is the Simple Object Access Protocol (SOAP). Microsoft is considering using SOAP as the underlying communication protocol for DCOM in all of its future operating systems. SOAP has also won tremendous support from IBM, Apache, and other industry leaders. However, SOAP by itself only provides a basic XML-based messaging protocol that underlies other industry-strength XML messaging frameworks, such as ebXML [ebXML.org, 2001].

As a standard format for data exchange, XML can certainly provide syntactic interoperability between disparate systems. Technically, syntactic interoperability is made easier through the self-describing capability of XML, which is made possible by the XML DTD and the XML Schema standard. A DTD specifies the structure of a class of XML documents, while XML Schema declarations contain both structure and data type information about a class of XML documents.

With XML, interoperability at the semantic level is also possible from several approaches. With the first approach, a common DTD or XML schema can be worked out for the data that needs to be exchanged in a given domain. This requires a DTD/XML schema developer to work closely with domain experts to carefully define a set of semantic tags and the structure of the data in the domain. The resulting DTD/XML schema should be general-purpose enough to be able to represent most data in that domain. In addition, it has to be reasonably simple to handle, and relatively flexible to allow for future extension. It may seem unlikely to get an entire domain to agree on a single DTD/XML schema. Surprisingly, this approach has already been adopted in some areas, such as mathematics, chemistry, and biology. Some examples of domain-specific XML-based languages [Laurent and Biggar, 1999] are shown in Table 1.
Another interesting development is the XML Stylesheet Language Transformation (XSLT) [XSLT]. XSLT makes it possible to convert an XML document conforming to one DTD into another XML document conforming to a different DTD. This could be useful when a system has to use a DTD different from the common DTD.

A second approach towards semantic interoperability would be through XML RDF and other ontology-based meta-data technologies, which also require involvement of domain experts to define a set of common vocabularies and their relationships in the domain. This approach is still under active research and development. An effort in this area is the Dublin Core Meta-data Initiative. Sample XML-based meta-data technologies [Laurent and Biggar, 1999] are shown in Table 2.

### Table 1 Sample domain-specific markup languages

<table>
<thead>
<tr>
<th>Markup Language</th>
<th>Abbreviation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Math Markup Language</td>
<td>MML</td>
</tr>
<tr>
<td>Chemical Markup Language</td>
<td>CML</td>
</tr>
<tr>
<td>Biology Markup Language</td>
<td>BioML</td>
</tr>
<tr>
<td>BioInformatic Sequence Markup Language</td>
<td>BSML</td>
</tr>
<tr>
<td>Markup for Astronomy</td>
<td>AML</td>
</tr>
</tbody>
</table>

### Table 2 XML-based meta-data technologies

<table>
<thead>
<tr>
<th>Meta-Data Format</th>
<th>Abbreviation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>XML Meta-data Interchange</td>
<td>XMI</td>
<td>OMG endorsed meta-data interchange format for exchanging objects between modeling tools.</td>
</tr>
<tr>
<td>Conceptual Knowledge Markup Language</td>
<td>CKML</td>
<td>An XML Language for describing relationships</td>
</tr>
<tr>
<td>Ontology Markup Language</td>
<td>OML</td>
<td>Subset of CKML</td>
</tr>
<tr>
<td>Australia New Zealand Land Information Meta-data</td>
<td>ANZMETA</td>
<td>An XML Language for describing information about land in Australia</td>
</tr>
<tr>
<td>Synchronized Multimedia Integration Language</td>
<td>SMIL</td>
<td>W3C Recommendation describing a vocabulary for the creation of multimedia presentations</td>
</tr>
</tbody>
</table>
These two approaches are different in that the former focuses on developing a common set of tags, whereas the latter focuses on developing a common set of vocabularies that will be used together with XML RDF to define the meaning of an XML document in a machine-understandable way.

4.2 XML Messages in JSIM

To open up possibilities for JSIM to exchange messages with other simulation systems, we use XML to describe JSIM event data that will be passed between remote machines.

Currently, there are several ways to access and manipulate XML-formatted data. At the element level, two standard application programming interfaces (APIs), namely, the Simple APIs for XML (SAX) and the Document Object Model (DOM) APIs are most often used.

At the object level, some efforts are being made to automatically convert DTD or XML schema into classes in an object-oriented programming language such as Java. The purpose is to allow programmatic manipulation of XML documents at the object level rather than at the element level. Work in this direction includes but is not limited to Adelard\(^5\) [Reinhold, 1999], QUICK [JXML, 2001], KOML [Hegaret, 1999], Iceberg [Hsu, 2000], and Castor [Arkin et al., 2000].

In JSIM, we use KOML, the Koala Object Markup Language [Hegaret, 1999], to convert Java objects to XML and vice versa. This achieves two goals for us. First, it uses a single DTD for all XML documents serialized from Java objects, which ensures consistency in the meaning of the tags used in all JSIM messages. Second, it allows us to access the XML messages at the object level, instead of at the element level.

To see how the serialization works, let us consider a sample Java class:

---

\(^5\) The Java XML Data Binding Project at Sun.
public class Simulate implements Serializable {

    private static final long serialVersionUID = 675650800527491650L;

    public String agentName;
    public Integer batchSize = null;
    public Integer numOfBatches = null;
    public Double relativePrecision = null;
    public Double confidenceLevel = null;
    public Integer replicationSize = null;
    public Integer numOfReplications = null;
    public Double transientPeriod = null;

    ...

    A sample XML document serialized from an object of this class using KOML is as follows.

    <?xml version='1.0' encoding='UTF-8'?>
    <!DOCTYPE koml SYSTEM "http://www.inria.fr/koala/XML/koml12.dtd">
    <koml version='1.21'>
        <classes>
            <class name='java.lang.Integer' uid='1360826667806852920' super='java.lang.Number'>
                <field name='value' type='int'/>
            </class>
            <class name='Simulate' uid='675650800527491650'>
                <field name='agentName' type='java.lang.String'/>
                <field name='batchSize' type='java.lang.Integer'/>
                <field name='confidenceLevel' type='java.lang.Double'/>
                <field name='numOfBatches' type='java.lang.Integer'/>
                <field name='numOfReplications' type='java.lang.Integer'/>
                <field name='relativePrecision' type='java.lang.Double'/>
                <field name='replicationSize' type='java.lang.Integer'/>
                <field name='transientPeriod' type='java.lang.Double'/>
            </class>
            <class name='java.lang.Double' uid='-9172774392245257468' super='java.lang.Number'>
                <field name='value' type='double'/>
            </class>
        </classes>
    </koml>
The resulting XML document may seem unnecessarily complex, but it does provide enough information to reconstruct the original class structure and the original Java object. It references an outside DTD, which specifies the constraints on the structure of the XML document itself. It also lists the meta-data necessary for reconstructing the original Java class, which includes all the related classes, fields, and their relationships. Finally, it details the values for each field of the object. Theoretically, for non-Java applications, it is now possible to parse this XML document using some standard XML parsing tools.

However, there is always a tradeoff between being generic and specific. With non-Java applications, this type of XML document would be fairly tedious to parse, even with readily available XML parsers. In addition, if such documents need to be passed over the network, the volume of extra meta-data and tag information may cause unnecessary network traffic, unless a good compression tool is used. An alternative approach would be to develop a set of common DTDs, XML Schemas, or XML RDF schemas for describing the data exchanged between simulation components. The approach of using domain-specific DTDs is being adopted in the NV-Therm project [Kelly, 2001] and in other domains as listed in Table 1.
Since we are using Java, KOML works beautifully with JSIM, though. When a state change occurs in a JSIM publisher, the publisher constructs a message object with the corresponding message class, serializes the object into an XML string, places it into a JsimEvent, and then passes the event as a parameter to the notify() method of the subscribing JsimListeners. In its notify() method, a subscriber can either extract the event data by deserializing the message or forward it to other applications for further processing.

To further enhance semantic support for JSIM messages, we have included in all message classes a field called performative, which is similar to a performative in the Knowledge Query and Manipulation Language (KQML). The use of performatives allows an event receiver to respond to an event in accordance with the context of the conversation.

Table 3 is a summary of the relationship among the JSIM events, messages, performatives, and their respective publishers and subscribers.

Table 3 JSIM events and messages

<table>
<thead>
<tr>
<th>Event Type</th>
<th>Message Type(s)</th>
<th>Performative(s)</th>
<th>Publisher (Generator)</th>
<th>Subscriber (Listener)</th>
</tr>
</thead>
<tbody>
<tr>
<td>INSTRUCT_EVT</td>
<td>Integer</td>
<td>Instruct</td>
<td>ScenarioAgent</td>
<td>ModelAgent</td>
</tr>
<tr>
<td>INQUIRE_EVT</td>
<td>Object</td>
<td>Inquire</td>
<td>ModelAgent</td>
<td>ModelBean</td>
</tr>
<tr>
<td>INFORM_EVT</td>
<td>ModelProperties</td>
<td>Inform</td>
<td>ModelBean</td>
<td>ModelAgent</td>
</tr>
<tr>
<td>CHANGE_EVT</td>
<td>ModelProperties</td>
<td>Change</td>
<td>ModelAgent</td>
<td>ModelBean</td>
</tr>
<tr>
<td>CHANGED_EVT</td>
<td>Object</td>
<td>Changed</td>
<td>ModelBean</td>
<td>ModelAgent</td>
</tr>
<tr>
<td>SIMULATE_EVT</td>
<td>Simulate</td>
<td>Start, Stop, Continue</td>
<td>ModelAgent</td>
<td>ModelBean</td>
</tr>
<tr>
<td>INJECT_EVT</td>
<td>Double []</td>
<td>Inject</td>
<td>ModelBean</td>
<td>ModelBean</td>
</tr>
<tr>
<td>REPORT_EVT</td>
<td>FinalReport, InterimReport</td>
<td>Final, Interim</td>
<td>ModelBean</td>
<td>ModelAgent</td>
</tr>
<tr>
<td>STORE_EVT</td>
<td>Store</td>
<td>Store</td>
<td>ModelAgent</td>
<td>DBAgent</td>
</tr>
<tr>
<td>QUERY_EVT</td>
<td>Query</td>
<td>Sequence query, Normal query</td>
<td>ScenarioAgent</td>
<td>DBAgent</td>
</tr>
<tr>
<td>RESULT_EVT</td>
<td>Result</td>
<td>Sequence number, Normal result, Information</td>
<td>DBAgent</td>
<td>ScenarioAgent</td>
</tr>
</tbody>
</table>
By serializing the event objects that encapsulate state change information into a text-based standard data format using a common DTD, JSIM allows individual simulation components to understand its messages with consistency and accuracy. It further opens up the possibility for JSIM to collaborate and exchange simulation data with other simulation systems.
CHAPTER 5
SIMULATION DATA MANAGEMENT

Running a simulation is often a time-consuming process. It is often desirable to store the input and output of a simulation into a database for later use. Since XML is used to represent simulation data in JSIM, the management of simulation data in JSIM becomes an issue of managing XML-formatted data.

The traditional database technologies have been very successful in managing highly structured data [Abiteboul et al., 2000]. However, the lack of a strict structure with XML poses a challenge to traditional database management systems, especially relational databases. How to efficiently store and retrieve XML data has been an active area of research. It appears that a new breed of database management systems that supports the storage of XML data in its native form and querying of XML database using a declarative XML query language is emerging in the research sector as well as the commercial sector. Examples of such systems include the SODA2 project [Cover, 2000b] and the Tamino XML Database [Software AG, 2001]. We are also seeing great efforts being made by traditional database vendors to extend their systems to support XML.

In this chapter, we will study the various approaches towards managing XML data with current database management technologies. We will also present our design and implementation of the JSIM database using a lightweight object-relational database management system.
5.1 Managing XML Data

XML is often compared with semi-structured data, a type of self-describing data that may not conform to a fixed predefined schema [Quass et al., 1995]. Semi-structured data allows for type and structural heterogeneity, and therefore is different from highly structured data that is characteristic of relational databases. An example of semi-structured data model is the Object Exchange Model (OEM) developed by the Stanford database group for the Tsimmis [Quass, et al., 1995] project. It is claimed to be the de facto semi-structured data model [Abiteboul, 2000].

Like semi-structured data, XML has a less strict structure than that of relational databases. An XML document can optionally have a DTD and/or an XML Schema. Both DTD and XML Schema allow for optional elements. An optional element may be absent from an XML document yet the document may still conform to the DTD or XML Schema. This is not the case with relational databases. In a relational database, there must a value for each attribute of a relation. For those attributes that do not have an applicable or known value, a null, 0, or empty string must be present.

XML is slightly different from semi-structured data, though. For instance, the child elements of a given node in the XML data model are ordered, but the order of nodes in the semi-structured data model is not important.

A flexible and scalable approach to storing XML documents is to use a database management system (DBMS). We will now consider several approaches using different types of DBMSs, namely, relational database management systems (RDBMSs), object-oriented database management systems (OODBMSs), and object-relational database management systems (ORDBMSs), and native XML database management systems (XML DBMSs).

To store XML data in relational databases, one has to define a mapping between the underlying XML data model and a relational data model. The mapping could have different granularities. At the coarsest level, a class of XML documents can be mapped to
one column in a relational table and then stored in text format. This requires run-time parsing of all the stored XML documents to handle queries on subcomponents of the XML documents, which is very inefficient.

At the intermediate level, the most frequently queried portion of the XML documents are mapped to a set of relational tables connected by foreign keys. The less frequently accessed portion is stored as text in one column. The latter will have to be parsed at run-time when needed. This will ensure reasonable performance in common cases. This approach is called hybrid storage. A variant of this approach is to map the most frequently queried portion of the XML documents to a set of tables, but at the same time store the XML documents in their entirety within a column in a separate table [Sybase, 1999]. To some extent, the mapped portion becomes sort of an index to the XML documents. This allows for fast retrieval of the XML documents in most situations. This approach is suitable for those applications in which a stored XML document is either needed in its entirety or not needed at all. For instance, some modeling tools might store a model in XML format so that, when the model is needed, the modeling tool can retrieve it immediately. In this case, the hybrid storage variant would be very useful.

At the finest level, all the sub-components of a type of XML document can be mapped to columns in a set of tables. The mapping can be application-specific, i.e., the set of tables is customized to reflect the structure of the corresponding XML documents. The mapping could also be very generic so that the same set of tables can handle all XML documents [Birbeck, 1998]. For any XML document, reconstruction of the original tree structure is possible if it is stored in the tables as shown in Table 4.
Table 4  Tables that can store any type of XML document

<table>
<thead>
<tr>
<th>Element</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>ElementName</td>
<td>ID</td>
<td>Parent</td>
<td>ChildNum</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Attribute</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>ElementID</td>
<td>Name</td>
<td>Value</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DataNode</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>ID</td>
<td>Value</td>
<td></td>
</tr>
</tbody>
</table>

Here, “ChildNum” refers to the order of the current element in the hierarchy. As an example, consider the following XML document.

```xml
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE message SYSTEM "message.dtd">
<message schema="Simulate">
  <performative value="Start"/>
  <agentInfo>
    <agentName value="ReplicationAgent"/>
    <replicationSize value="2"/>
    <numOfReplications value="10"/>
    <transientPeriod value="500000.0"/>
  </agentInfo>
</message>
```

The above XML document, if stored in tables, would take the form shown in Table 5.
Table 5 An XML document stored in relational tables

<table>
<thead>
<tr>
<th>Element</th>
<th>ID</th>
<th>Parent</th>
<th>ChildNum</th>
</tr>
</thead>
<tbody>
<tr>
<td>message</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>performative</td>
<td>2</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>agentInfo</td>
<td>3</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>agentName</td>
<td>4</td>
<td>3</td>
<td>1</td>
</tr>
<tr>
<td>replicationSize</td>
<td>5</td>
<td>3</td>
<td>2</td>
</tr>
<tr>
<td>numOfReplications</td>
<td>6</td>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td>transientPeriod</td>
<td>7</td>
<td>3</td>
<td>4</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Attribute</th>
<th>ElementID</th>
<th>Name</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Schema</td>
<td>Simulate</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Value</td>
<td>Start</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Value</td>
<td>ReplicationAgent</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Value</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Value</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Value</td>
<td>500000.0</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DataNode</th>
<th>ID</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1</td>
<td></td>
</tr>
</tbody>
</table>

The DataNode table is empty here because our sample XML document does not have a text node.

Evidently, the relational approach has several disadvantages. For one, the original tree structure of the XML document becomes less obvious when stored in a relational database. Queries will have to be formulated according to the relational model instead of the more intuitive XML data model. In addition, the sub-components of the XML document will be scattered all over the disk pages so that a query on related sub-components may involve many page fetches from the disk. Meanwhile, if the sub-components are mapped to different tables, as they often are, many joins will have to be performed as well.
Another issue is the handling of null values. As we have discussed before, an XML document may not strictly conform to a rigid fixed schema. For example, an XML document may be allowed to have a missing element. However, this is not the case with relational databases. A tuple in a relation must specify values for all attributes in the relation. To handle an XML document with a missing element or attribute, either a 0 or a null value must be specified for that element or attribute. A null could indicate that the element or attribute does not have a legal value or it has one but the value is currently not available. This makes it extremely difficult to maintain a consistent interpretation of the null values in XML documents stored in relational databases.

Typing is also problematic. In the above example, the Value field in the DataNode table could have integer type or string type. We may declare the Value field to be of type string and have a separate field indicating which instance value is actually of type integer. Alternatively, we can have two value fields, one string and the other integer. In either case, we will have to leave many cells in the value fields blank, which requires special handling in query processing and which goes against the relational notion of storage efficiency.

Order also raises concern because relations do not care about order of tuples but XML documents do care about the order of their elements. Although things can be worked around, as we did in the above example, care must be taken in formulating or processing queries. Interested readers may refer to [Bourret, 2000] for extended discussions about the limitations of using relational database management systems to store XML documents.

With object-oriented databases, there also needs to be a mapping between the XML data model and the object data model. However, the mapping would be much easier because the object model naturally captures the ordering and nesting of collections of components. Let us consider the DTD for our sample XML document.

```xml
<?xml version="1.0" encoding="UTF-8"?>
<!ELEMENT message (performative, agentInfo)>
According to the DTD, we can create the following classes to store those XML documents conforming to the DTD.

```java
class Message
    schema: string,
    performative: string,
    agentInfo: class AgentInfo,

class AgentInfo
    agentName: string,
    replicationSize: integer,
    numOfReplications: integer,
    transientPeriod: double
```

The mapping is straightforward. For simple or empty nodes, we map them to either string or integer type; for complex element nodes, we map them to a class or a collection of classes, depending on the DTD specifications. The type information is useful in optimizing storage and improving performance. This approach ensures that closely related sub-components of the XML documents are grouped together and clustered in nearby disk pages. As a result, fewer page fetches will be needed to answer most queries. That said, the object-oriented approach might not necessarily outperform the relational approach, simply because relational databases are well developed. The strong typing required by a relational database makes it possible to optimize storage, support advanced indexing and sophisticated queries, and facilitate query processing.

Another approach to storing XML documents is through object-relational database management systems (ORDBMSs). This type of system has been developed by relational
database system vendors to extend their relational systems with object-oriented capabilities. These systems support all the approaches of storing XML data with relational databases. In addition, their object-relational features allow one to get both the performance and scalability of relational databases and ease of use with regard to query formulation.

Work is also being done in the arena of native XML database management systems. For instance, SODA\textsuperscript{6} [Cover, 2000b] is a semi-structured database management system that supports multiple query languages, including XPath expressions, XQL and XML-QL, via a set of client libraries. Tamino XML Database is a commercial XML DBMS by Software AG [Software AG, 2001]. It is the first native XML database, which can store and process XML data without first converting it to another data format. Thus, it reduces response time and cuts administrative effort, compared with traditional databases. The current release of Tamino XML Database supports a declarative XML query language called X-Query [Chamblin et al., 2001].

This new breed of database systems will distinguish itself from the traditional relational, object-oriented, and object-relational systems by offering the following features:

- Hierarchical data model
- Tag and path operations
- Irregular structure, and
- Order/sequence of data elements.

Such a system is likely to make the storing and retrieving of XML data much easier and more efficient.

\textsuperscript{6} Stands for Semi-structured Object DAtabase, Version 2.
The pros and cons of using the various backends for storing XML data are summarized in Table 6.

Table 6 Storing XML data in databases

<table>
<thead>
<tr>
<th>Backend</th>
<th>Sample Databases</th>
<th>Feasibility</th>
<th>Implementation</th>
<th>Querying</th>
</tr>
</thead>
<tbody>
<tr>
<td>RDBMS</td>
<td>Sybase Adaptive Server</td>
<td>Yes</td>
<td>Complex mapping required</td>
<td>Allows SQL queries, some parsing may be necessary</td>
</tr>
<tr>
<td>ODBMS</td>
<td>Object Store Poet</td>
<td>Yes</td>
<td>Mapping required but easy</td>
<td>Allows OQL queries, some parsing may be necessary</td>
</tr>
<tr>
<td>ORDBMS</td>
<td>Oracle 8i, DB2</td>
<td>Yes</td>
<td>Mappings required</td>
<td>Allows SQL/SQL3 queries, some parsing may be necessary</td>
</tr>
<tr>
<td>XML DBMS</td>
<td>Tamino XML Database, SODA2</td>
<td>Yes</td>
<td>No mappings needed</td>
<td>Supports a declarative XML query language.</td>
</tr>
</tbody>
</table>

5.2 Storing JSIM Simulation Data

In a previous attempt to implement an XML database for JSIM [Huang et al., 2000], we used Oracle 8i, an object-relational database. With Oracle 8i and the Oracle’s XML SQL Utility [Oracle, 2000], one can store XML data in relational tables but create an object view on top of those tables to allow queries to be formulated according to the object view. We found it hard to evolve our database schema with this approach, because it requires two mappings, one from the simulation data to the relational tables, the other from the relational tables to an object view.

We have implemented the current JSIM database using Cloudscape, which is a small-foot-print object-relational database implemented in pure Java. With Cloudscape, one can store Java objects together with relational data in a set of relational tables. This makes it
much easier to map from program data to database schema, to maintain the database access code, and more important, to evolve the schema.

JSIM may store all input and output data of a simulation. After a simulation is finished, a report will be sent to the controlling model agent. If the user chooses to store the data, the model agent will then assemble the report, together with the control data, the input model properties, and the scenario ID into a Store object. It also serializes the Store object into an XML Message, and sends it to a subscribing database agent.

The Store object contains the input and output of a simulation together with the ID of the scenario to which the simulation belongs. Figure 3 is a UML class diagram of the Store class and its associated classes.

![UML class diagram of the Store class and its associated classes](image)

Figure 3 The Store class

The Store class has three fields. `scenarioID`, `agentData` of type Simulate, and `modelData` of type ModelData. The ModelData class also has three fields including
*ModelName*, an array of type *NodeProps*, and an array of type *NodeStats*. Since Cloudscape does not support declarative queries against objects with arrays, we do not want to map the Store class into one column in a table. Instead, we use a main table called federate to store the basic information about a federate, including the federate ID, scenario ID, name of the model, and model control data sent from the corresponding model agent to the model. In addition, we use an auxiliary table called modelnode to store the input and output statistics of the nodes associated with this model. Figure 4 is a diagram of the database schema for JSIM.

![Database Schema Diagram](image)

Figure 4 JSIM database schema
5.3 Querying JSIM Database

A JSIM database can be queried from a scenario agent query panel by entering SQL statements. Behind the scene, the query is sent to a database agent. If an error occurs, a Result object, constructed with the performative “information” and an error message, will be sent back to the scenario agent and displayed to the user. Otherwise, a Result object, with the performative “normal result” and the query result, will be constructed and sent back to the scenario agent. In the latter case, the scenario agent will dynamically generate an XML document and display it. Interested readers please refer to steps 7 and 8 in the section, “Working with a sample model” in Appendix B, for snapshots of a sample query.
CHAPTER 6
SUMMARY AND FUTURE WORK

This paper centers on the interoperability issues in federated simulation systems. In particular, we focus on the component interaction (Chapter 3), simulation data exchange (Chapter 4), and simulation data management (Chapter 5). The simulation interoperability standard for the military is the DoD HLA, which may be too restrictive and complicated for commercial purposes. In this paper, we have proposed an alternative framework for building federated simulation systems that is open, flexible, extensible, and comparable to HLA-style systems in terms of its support for federated systems (as shown in Table 7).

Table 7 A comparison of HLA-style systems and our proposed JSIM

<table>
<thead>
<tr>
<th>Support for Federated Simulations</th>
<th>HLA-Style Systems</th>
<th>Proposed JSIM</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federation Management</td>
<td>RTI, FOM</td>
<td>ScenarioAgent</td>
</tr>
<tr>
<td>Declaration Management</td>
<td>RTI, OMT</td>
<td>Not needed</td>
</tr>
<tr>
<td>Object Management</td>
<td>RTI</td>
<td>Jini</td>
</tr>
<tr>
<td>Management of Ownership Transfer</td>
<td>RTI</td>
<td>Not needed</td>
</tr>
<tr>
<td>Time Management</td>
<td>RTI</td>
<td>Incomplete</td>
</tr>
<tr>
<td>Data Distribution Management</td>
<td>RTI</td>
<td>Jini third party event routing agents</td>
</tr>
<tr>
<td>Communication Protocol</td>
<td>Up to RTI implementers to decide</td>
<td>RMI</td>
</tr>
<tr>
<td>Data exchange format</td>
<td>OMT DIF</td>
<td>XML</td>
</tr>
<tr>
<td>Storage of simulation results</td>
<td>Not specified</td>
<td>DBAgent, a DBMS</td>
</tr>
</tbody>
</table>

We have implemented a prototype of our proposed system. Work has been done in the following areas:
Streamlined the design of model beans to allow automatic code generation of newly designed model beans. The code generation feature makes JSIM more user friendly, since it relieves a model designer from writing customization code for each model.

Made the model agents capable of dynamically adjusting the properties of model beans at run time through a GUI interface.

Enhanced the scenario agent to make it a federation coordinator rather than a central authority for running a federation. Currently, the scenario agent is responsible for supplying a scenario ID to the participating model agents at the start of a federation. The scenario ID can be used by the model agents to store the input and output of the simulation, and later, be used to retrieve all input and output simulation data generated by each simulation associated with this federation.

Extended the original JSIM to include a database agent, so that the simulation results collected after time-consuming simulation runs can be stored, queried, reused, and thoroughly analyzed for decision-making purposes.

Selected an embedded object-relational database system, Cloudscape, for the back end of JSIM. Cloudscape is implemented in pure Java and can store both Java objects and relational data. This feature makes it possible for us to keep the JSIM schema simple and easy to evolve.

Designed the JSIM database to support the storage of the input and output data of a simulation federation.

Built a graphical query interface for the JSIM database.

Implemented Jini-style events and XML-based messages to facilitate the communication and exchange of simulation data between the JSIM components.

Supported a GUI-less approach of composing a federation based on a graph specification. By taking the beans out of a GUI bean builder, the beans can be more easily distributed. (Since the default bean builder only supports JavaBeans events that
live in a single JVM, any attempt to make the beans distributed will end up modifying
the source code of the bean builder, which is time consuming and error prone.)

Overall, this prototype system has laid a very solid foundation upon which our
ingvisioned federated simulation system can be built. However, it is only our first step
towards our vision of building a fully distributed federated simulation system. Unit and
integration tests have been performed on the XML-based messaging scheme in a single- machine environment. More work is needed to fully test the distributed events in a
distributed environment. Some opportunities for future research include:

• Develop a common set of DTDs or XML Schema for the different types of messages
exchanged among the scenario agent, the model agents, and the database agent, so
that those messages can be more meaningful and more concise. This may require
writing code to parse those messages, or using Sun’s XML Data Binding APIs
[Reinold, 1999] to process those messages at an object level. A more flexible and
more sophisticated approach would be to use the XML RDF schema to describe the
meaning of the individual messages to achieve semantic interoperability of those
messages.

• Employ third party event routing agents and the event sequence number to guarantee
reliable and orderly delivery of JSIM events.

• Use the Jini transaction management facilities to ensure that all model agents in a
federation either successfully store their simulation results into the database, or
nothing is stored. Otherwise, incomplete simulation results of a federation may be
found in the database.

• Investigate the Jini join/discover protocol to explore the possibility for JSIM to
interact with other simulation systems on the network.
BIBLIOGRAPHY


[Software AG, 2001] [http://www.softwareag.com](http://www.softwareag.com)


APPENDIX A
The JSIM Packages

JSIM consists of a foundation library, a visual model designer, generated models, model execution control agents, and a database agent. The foundation library contains classes supporting the execution of a simulation, including queuing, simulation event scheduling, and some statistic analysis classes. The model designer has automatic code generation capability. It allows a user to design a simulation model, enter input parameters for the model, and generate Java code, or store the model in a special format.

The code generated for each model includes a standalone simulation, a Java applet, and a Java bean, all of which are ready to be compiled and executed without code modifications. A model applet can execute independently in an applet environment. A model bean can be customized at run time, and linked with other model beans and model agents to dynamically compose a new simulation or simulation federation.

Model agents in JSIM are used to control the quality of simulation results. The scenario control agent is used to coordinate the execution of a federated simulation. The input and output of each model can be collected by the model agent and sent to the database agent to be stored.

The core JSIM system consists of the packages shown in Table 8.
Table 8 Core JSIM packages

<table>
<thead>
<tr>
<th>Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>jsim.util</td>
<td>Contains general utilities</td>
</tr>
<tr>
<td>jsim.jmodel</td>
<td>Contains a GUI tool for designing new models</td>
</tr>
<tr>
<td>jsim.queue</td>
<td>Contains various queues for managing the simulation entities</td>
</tr>
<tr>
<td>jsim.event</td>
<td>Contains simulation events</td>
</tr>
<tr>
<td>jsim.variante</td>
<td>Contains various types of variates required for statistical analysis of simulation data</td>
</tr>
<tr>
<td>jsim.statistic</td>
<td>Contains various statistical analysis methods</td>
</tr>
<tr>
<td>jsim.coroutine</td>
<td>Contains a thread management and scheduling class</td>
</tr>
<tr>
<td>jsim.jmessage</td>
<td>Contains the events and messages passed between the beans and agents</td>
</tr>
<tr>
<td>jsim.process</td>
<td>Contains the top level simulation model class, the simulation entities classes, and the display and animation classes</td>
</tr>
<tr>
<td>jsim.jrun</td>
<td>Contains the high-level agents that control the execution of a simulation.</td>
</tr>
<tr>
<td>jsim.fed</td>
<td>Contains the facilities for running federated simulations.</td>
</tr>
<tr>
<td>jsim.beanbox</td>
<td>Contains a tool for visually designing and executing a simulation or federation.</td>
</tr>
<tr>
<td>jsim.jquery</td>
<td>Contains classes that manage the storage and retrieval of simulation data.</td>
</tr>
</tbody>
</table>
APPENDIX B
User Guide to JSIM

1. Download

   http://chief.cs.uga.edu/~jam/jsim

2. Operating Environment Requirements

   JSIM is implemented in pure Java. It has been tested in both UNIX and NT environments. The following software is required for JSIM.

   • JDK 1.3
     http://java.sun.com/j2se/1.3/
   • KOML (The Koala Object Markup Language)
     http://www-sop.inria.fr/koala/XML/serialization/
   • xerces_j (Apache's XML Parser for Java) and its XML tree viewer
     http://xml.apache.org/dist/xerces-j/
     The XML tree viewer that comes with the xerces_j samples jar file is used to display the XML-formatted query results. The XML parser is used by the KOML package to convert Java objects into and from XML data. It is also used in JSIM to establish a connection to the database via an XML configuration file, when creating the JSIM tables.
   • Cloudscape
     http://www.cloudscape.com
Cloudscape is an embedded object-relational database management system implemented in pure Java. It is needed only if you want to use the database features of JSIM.

3. Installation Instructions (ON UNIX)

3.1 Install all required software and download jsim.tar.gz to your home directory

3.2 Unzip jsim.tar.gz and extract all files from it

```
    gunzip jsim.tar.gz | tar -xvf -
```

3.3 Set up your environment variables and CLASSPATH by putting the following lines in your profile file, such as .cshrc. (You will need cloudscape.jar, RmiJdbc.jar, and client.jar only if you want to use the database. If you installed those jar files elsewhere, please adjust everything accordingly.)

```
setenv JSIM_HOME $HOME/JSIM
setenv CLASSPATH ./
setenv CLASSPATH $JSIM_HOME/dep/koml.jar.$CLASSPATH
setenv CLASSPATH $JSIM_HOME/dep/xerces.jar.$CLASSPATH
setenv CLASSPATH $JSIM_HOME/dep/xercesSamples.jar.$CLASSPATH
setenv CLASSPATH $JSIM_HOME/dep/client.jar.$CLASSPATH
setenv CLASSPATH $JSIM_HOME/dep/cloudscape.jar.$CLASSPATH
setenv CLASSPATH $JSIM_HOME/dep/RmiJdbc.jar.$CLASSPATH
setenv CLASSPATH $JSIM_HOME/
setenv CLASSPATH ..$CLASSPATH
```

Please also add the following to your search path.

```
$JAVA_HOME/bin.$JSIM_HOME/bin
```

4. Building the JSIM system

```
    cd $JSIM_HOME
    make
```
Let us assume that you want to use the database feature of JSIM and you use Cloudscape as your database server. If you choose the RMI-JDBC framework, which is one of the client-server frameworks supported by Cloudscape, you must run the rmi server first. A default script for running the rmi database server is included in a file called "startCS.sh" in the JSIM/jsimdb directory. You need to configure the script according to your setting, change to the directory where you want your JSIM database to be created, and run the modified script.

When your database server is up and running, you can modify the configuration file called "create_jsimschema_config.xml" in the JSIM directory. Then type the following command to create the JSIM database schema.

```java
java jsim.jquery.CreateJsimSchema
```

As a result, two tables will be created and a sql script file will be generated. The first table is a sequence table with only one integer field that will be initialized with a value of zero. This table stores the next scenarioID value for the SceanrioAgent. Whenever a request for a scenarioID is requested, the number in the table will be updated. The second table will be used to store the scenario objects. (We call each execution of a model or model federation a scenario. The simulation data generated from such a scenario is encapsulated in an object of type jsim.jquery.Store.) Now, you are ready to experiment with one of the sample models.

5. Working with a Sample Model

First, change to the directory, JSIM/examples/bank, and build the bank simulation.

```bash
cd $JSIM_HOME/examples/bank
jmake.sh Bank
```

To run the model as a standalone application, type
java Bank

To turn on the use of XML as the message format, type

java -Djsim.use_xml=true Bank

To dump the XML messages in files, type

java -Djsim.use_xml=true -Djsim.generate_xml_files=true Bank

To run the model as an applet, type

make applet

To run the default model federation as an application, type

make federate

To run the default model federation as an applet, type

make fedApplet

The standalone model application and applet are straightforward to use. Below, we will briefly walk you through the model federation. Once the model federation is started, on the scenario window menu bar, click the "Action" menu to bring up a list of menu items. To run a simulation, select "Start Simulation". A dialog box will pop up to ask you for database connection information. If you do not want to store the simulation results into the database later on, just click "Cancel". Otherwise, you will have to enter the correct information and click "OK". Before the simulation is started, you will be asked to edit the properties of the model. Click "Apply" after editing. The snapshots in Figures 5-
10 show the typical steps of running a simulation, displaying or storing simulation data, and querying the database.

Step 1: Launching scenario control window.

![Figure 5 Launching the scenario control window]
Step 2: Connecting to the JSIM database.

Figure 6 Connecting to the database
Step 3: Editing input model properties. Note that each cell in the "Distribution" column is a list of distribution types currently supported by JSIM. You can click to select a type that interests you.

Figure 7 Editing model properties
Step 4: Launching the simulation panel. Select "Start Simulation" under "JSIM Controls" to start the simulation. Select "Stop Simulation" after the simulation is done.

Figure 8 Launching the simulation panel
Step 5: Choosing a way to handle simulation results. If you choose "Display", you will see a panel displaying the simulation results, as captured in step 6. If you choose "Store", the input model properties, the properties of the agent that controls the simulation, and the simulation results will be stored in the database.

![Figure 9 Handling simulation results](image-url)
Step 6: Displaying simulation results. You can stop here, or you can perform some queries against the database.

Figure 10 Displaying simulation results
Step 7: Querying the JSIM database. You get to this step by selecting the "Query Database" item under the "Action" menu. The query GUI as shown in Figure 11 displays a query guide for the JSIM database. The "Status Watch" area will provide feedback to you whenever a command is issued. You can start with entering a query in the "SQL Query" area. Then click "Execute Query". If XML messaging is enabled, the query results will be returned in an XML tree viewer, as shown in Figure 12. Otherwise, the results will be displayed in the "Status Watch" area.

Figure 11 Querying JSIM database
Step 8: Displaying the query results in an XML tree viewer.

6. Working with a Sample Federation

    cd $JSIM_HOME/examples/federation
    run

Figure 13 shows a federation consisting of two federates. A BatchMeansAgent running a Bank model and a ReplicationAgent running a FoodCourt model.
7. Design a New Model

Change to the directory where you want to place your new model and type jmod.sh. You can design a new model by selecting appropriate icons/nodes from the toolbar and then drag them to the canvas. You can add, delete, and reposition icons/nodes on the canvas. You can also draw line connections between them, edit the properties of each object at design time, and generate code for the model you have created. Note that to generate code you have to click the "Generate" button first and then click on the canvas. Otherwise no code will be generated. Along with the code, an HTML file for running the federate as an applet will also be generated. A make file can be generated by the command, jmake.sh <ModelName>.
XML Background with a Running Sample

XML is an effort by the World Wide Web Consortium (W3C) to facilitate data exchange over the Web. XML is a streamlined subset of SGML (Standard General Markup Language), which is a powerful yet enormously complex meta-data language. XML has retained most of the power of SGML, but is far simpler. The design goals of XML [Bray et al., 1998] are listed below.

- XML shall be straightforwardly usable over the Internet,
- XML shall support a wide variety of applications,
- XML shall be compatible with SGML,
- It shall be easy to write programs which process XML documents,
- The number of optional features in XML is to be kept to the absolute minimum, ideally zero,
- XML documents should be human-legible and reasonably clear,
- The XML design should be prepared quickly,
- The design of XML shall be formal and concise,
- XML documents shall be easy to create, and
- Terseness in XML markup is of minimal importance.

XML allows for an extensible tag set or user-defined markups. A class of XML documents can be described through a rule set called Document Type Definition (DTD). An XML document is said to be a document instance of a DTD, if it has one. On the
other hand, a DTD is said to contain the markup declarations of a class of XML documents.

An XML document is a tree of tagged elements. Each element can have zero or more attributes. An XML document is either well-formed or valid. A well-formed XML document should conform to the well-formedness requirements as stated in the XML specification [Bray et al., 1998]. For instance, a well-formed XML document must be properly nested. The following is a sample well-formed XML document. The line number preceding each line is provided only for the convenience of explanation.

```
1. <message schema="Simulate">
2.  <performativ value="Start"/>
3.   <agentInfo>
4.     <agentName value="ReplicationAgent"/>
5.     <replicationSize value="2"/>
6.     <numOfReplications value="10"/>
7.     <transientPeriod value="500000.0"/>
8.   </agentInfo>
9. </message>
```

As we can see from here, XML has a tree-like structure. The “message” element, which starts at line 1 and ends at line 9, is referred to as the root element. The elements at line 2 and 3 are the children of the root element. Those at line 4 through 7 are the children of the “agentInfo” element. Each of the elements is enclosed within a begin tag and an end tag.

A valid XML document is also well-formed. In addition, it must conform to a DTD that contains all its tag declarations structural specifications. The following is a sample valid XML document.

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE message SYSTEM "message.dtd">
<message schema="Simulate">
  ...
</message>
```
Here, the first line is the XML prologue declaration. The second line is the document type declaration. The "SYSTEM" identifier indicates that a system resource is being referenced. In this case, the resource "message.dtd" is a file containing the external markup declarations.

```xml
<?xml version="1.0" encoding="UTF-8"?>
<!ELEMENT message (performative, agentInfo)>
<!ATTLIST message schema CDATA #REQUIRED>
<!ELEMENT performative EMPTY>
<!ATTLIST performative value CDATA #REQUIRED>
<!ELEMENT agentInfo (agentName, replicationSize, numOfReplications, transientPeriod)>
<!ELEMENT agentName EMPTY>
<!ATTLIST agentName value CDATA #REQUIRED>
<!ELEMENT replicationSize EMPTY>
<!ATTLIST replicationSize value CDATA #REQUIRED>
<!ELEMENT numOfReplications EMPTY>
<!ATTLIST numOfReplications value CDATA #REQUIRED>
<!ELEMENT transientPeriod EMPTY>
<!ATTLIST transientPeriod value CDATA #REQUIRED>
```

A DTD specifies the markups and the constraints on the structure or content of the elements. In the above example, the declaration for "message" means that this element must have two children named "performative" and "agentInfo", respectively and in that order. In addition, "message" must have an attribute named “schema”, which is of type CDATA (character data). The element “performative” is the first child of “message”. It is an empty element with an attribute “value”. The element “agentInfo” is the second child of “message” and has four children of its own, namely “agentName”, “replicationSize”, “numOfReplications”, and “transientPeriod”. Each of the third level children is an empty element with an attribute “value”. An XML document is said to conform to this DTD if it satisfies all the constraints specified in the DTD. Otherwise, the XML document is considered invalid.

The separation of document type declaration (meta-data) and document instances makes it easy to share a DTD among a class of XML documents. However, for ease of transfer, the declarations can also be directly included in an XML document. In this case, the XML document is said to contain an internal set of markup declarations.
A more powerful form of meta-data for XML is the W3C XML Schema [W3C, 2001]. In addition to specifying the markups and structure of an XML document, the XML Schema provides facilities to specify the data type of each element. The data types can be derived types or built-in primitive types. XML schema also supports type inheritance, namespaces, and inclusion of other schema files to facilitate the reuse and integration of existing schemas.

A schema for the sample document may look like the following.

```xml
<?xml version="1.0" encoding="UTF-8"?>
<schema>
  <element name="message">
    <complexType>
      <element ref="performative" minOccurs='1' maxOccurs='1'/>
      <element ref="agentInfo" minOccurs='1' maxOccurs='1'/>
      <attribute name="schema" type="string" use="required"/>
    </complexType>
  </element>
  <element name="performative" type="string"/>
  <element name="agentInfo">
    <complexType>
      <element ref="agentName" minOccurs='1' maxOccurs='1'/>
      <element ref="replicationSize" minOccurs='1' maxOccurs='1'/>
      <element ref="numOfReplications" minOccurs='1' maxOccurs='1'/>
      <element ref="transientPeriod" minOccurs='1' maxOccurs='1'/>
    </complexType>
  </element>
  <element name="agentName">
    <complexType content="empty">
      <attribute name="value" type="string"/>
    </complexType>
  </element>
  <element name="replicationSize">
    <complexType content="empty">
      <attribute name="value" type="integer"/>
    </complexType>
  </element>
  <element name="numOfReplications">
    <complexType content="empty">
      <attribute name="value" type="integer"/>
    </complexType>
  </element>
  <element name="transientPeriod">
    <complexType content="empty">
      <attribute name="value" type="double"/>
    </complexType>
  </element>
</schema>
```
Both DTD and XML Schema describe the syntax of XML data. It is also possible to describe the semantics of XML data with the help of another XML meta-data standard called XML RDF. RDF is designed to be a lightweight ontology system that provides facilities to provide information about the content of XML data from a semantic point of view. It models data based-on a property-centric approach, instead of the class-centric approach as used in object-oriented programming languages. RDF is aimed at facilitating machine-based knowledge sharing on the Web with its capability of specifying classes of resources, their properties and the constraints on those properties. Below is an RDF schema for the sample XML document.

```xml
<rdf:RDF xml:lang="en"
xmlns:rdf="http://www.w3.org/1999/02/22-rdf-syntax-ns#"
xmlns:rdfs="http://www.w3.org/2000/01/rdf-schema#">
  <rdfs:Class rdf:ID="message">
    <rdfs:comment>The class of simulation messages.</rdfs:comment>
  </rdfs:Class>
  <rdfs:Class rdf:ID="agentInfo">
    <rdfs:domain rdf:resource="#message"/>
  </rdfs:Class>
  <rdfs:Property ID="schema">
    <rdfs:range rdf:resource="http://www.w3.org/2000/03/example/classes#String"/>
    <rdfs:domain rdf:resource="#message"/>
  </rdfs:Property>
  <rdfs:Property ID="performative">
    <rdfs:range rdf:resource="#JsimPerformative"/>
    <rdfs:domain rdf:resource="#message"/>
  </rdfs:Property>
  <rdfs:Property ID="agentName">
    <rdfs:range rdf:resource="http://www.w3.org/2000/03/example/classes#String"/>
    <rdfs:domain rdf:resource="#agentInfo"/>
  </rdfs:Property>
  <rdfs:Property ID="replicationSize">
    <rdfs:range rdf:resource="http://www.w3.org/2000/03/example/classes#Integer"/>
    <rdfs:domain rdf:resource="#agentInfo"/>
  </rdfs:Property>
  <rdfs:Property ID="numOfReplications">
    <rdfs:range rdf:resource="http://www.w3.org/2000/03/example/classes#Integer"/>
    <rdfs:domain rdf:resource="#agentInfo"/>
  </rdfs:Property>
  <rdfs:Property ID="transientPeriod">
    <rdfs:range rdf:resource="http://www.w3.org/2000/03/example/classes#Double"/>
    <rdfs:domain rdf:resource="#agentInfo"/>
  </rdfs:Property>
  <rdfs:Class rdf:ID="JsimPerformatives"/>
  <JsimPerformative rdf:ID="Start"/>
  <JsimPerformative rdf:ID="Stop"/>
  <JsimPerformative rdf:ID="Continue"/>
</rdf:RDF>
```
XML also separates the structure and presentation of data. This makes it possible to provide multiple views of the same XML document by associating a stylesheet with the XML document for each view. This also makes it possible to modify the data at one location and instantly reflect the changes in all of its views. It is said to be one of the features that make XML superior to HTML.

There are two major W3C standards on XML Stylesheet. One standard is the XML Stylesheet Language (XSL) [W3C, 2001], which deals with the formatting aspect of XML documents. The other standard is the XSL Transformations (XSLT) [W3C, 2001], which deals with the transformation aspect of XML documents. There are very few fully compliant XSL processors and even less browser support for XSL at the time of this writing. Internet Explorer 5.0 supports a small portion of XSL, but it is not compliant with the current standards due to the fact that IE5 was released before the standards were finalized. Fortunately, one can always use XSLT to transform an XML document into an HTML document for display purposes.

Suppose we apply the following stylesheet to the sample XML document using xalan-j (Apache’s XSLT processor) [Apache, 2000].

```xml
<xsl:stylesheet xmlns:xsl="http://www.w3.org/1999/XSL/Transform"
    version="1.0">
  <xsl:output method="html" indent="yes"/>
  <xsl:template match="/"/>
  <html>
    <head>
      <title>A Sample Simulate Message From ReplicationAgent</title>
    </head>
    <body bgcolor="#ffffff" text="#000000">
      <center>
        <b>A Sample Simulate Message From ReplicationAgent</b>
        <p><xsl:apply-templates select="/message"/></p>
      </center>
    </body>
  </html>
</xsl:template>
<xsl:template match="/message"/>
<table width="600" border="4">
  <tr><td>Schema</td></tr>
  <tr><td><xsl:value-of select="@schema"/></td></tr>
  <tr><td>Performative</td></tr>
  <tr><td><xsl:apply-templates select="/message/performative"/></td></tr>
</table>
</xsl:stylesheet>
```
We can therefore get an HTML document like the following.

```html
<html>
<head>
  <title>A Sample Simulate Message From ReplicationAgent</title>
</head>
<body text="#000000" bgcolor="#ffffff"
  <center>
  A Sample Simulate Message From ReplicationAgent</center>
  <table border="4" width="600">
    <tr><td>Schema</td><td>Simulate</td></tr>
    <tr><td>Performative</td><td>Start</td></tr>
    <tr><td>AgentInfo</td><td></td></tr>
      <td>Agent Name.</td><td>ReplicationAgent</td></tr>
      <tr><td>Replication Size.</td><td></td></tr>
      <tr><td>Number of Replications.</td><td></td></tr>
      <tr><td>Transient Period.</td><td></td></tr>
  </table>
</body>
</html>
```
Here is how the above HTML document is displayed in Internet Explorer.

Figure 14 An HTML document transformed from XML

Another common use of XSLT is to transform one XML document into a different XML document containing a selected portion of the original data and/or newly added data. This could be very useful when the same XML document is fed into different programs, each with different information needs.
With a growing number of XML standards and unprecedented interest in its potential, there are numerous ways of working with XML documents. For the most recent and comprehensive listing of XML standards and tools, please visit.

http://www.w3c.org/XML